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PTO/SB/21 (12-97)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application	09/881,823	
	Filing Date	June 15, 2001	
	First Named	Wenyuan Shi	
	Group Art Unit	1645	
	Examiner Name	Robert Zeman	
Total Number of Pages in This Submission	13	Attorney Docket Number	2101363-991100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Red-lined Figures 1B, 5 and 6
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	2. Return postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Nan Wu (Reg. No. 43,360) Gray Cary Ware & Freidenrich LLP 153 Townsend Street, Suite 800, San Francisco, CA 94107
Signature	Gerald J. Schumann (Reg. #30103) for Nan Wu
Date	March 13, 2003

## CERTIFICATE OF MAILING

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Signature	Ta-Tanisha L. Moore		

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## AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Wenyuan Shi, et al.

Docket No.

2101363-991100

Serial No.

09/881,823

Filing Date

June 15, 2001

Examiner

Robert Zeman

Group Art Unit

1645

Invention: DNA MOLECULES AND RECOMBINANT DNA MOLECULES FOR PRODUCING HUMANIZED MONOCLONAL ANTIBODIES TO S. MUTANS

MAR 18 2003

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	44 -	24 =	20 x	\$18.00	\$360.00
INDEP. CLAIMS	3 -	3 =	0 x	\$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$360.00

- ☐ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$360.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1896.  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

*Gerald H. Schumann* Reg # 30,103  
for \_\_\_\_\_  
Signature

Dated: March 13, 2003

Nan Wu (Reg. No. 43,360)

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*Ta. Moore*

Signature of Person Mailing Correspondence

Ta-Tanisha L. Moore

Typed or Printed Name of Person Mailing Correspondence

CC:



PATENT

Attorney Docket No.: Shi/Anderson II (2101363/991100)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Shi et al.,

Application No.: 09/881,823

Filed: June 15, 2001

For: DNA MOLECULES AND RECOMBINANT  
DNA MOLECULES FOR PRODUCING  
HUMANIZED MONOCLONAL ANTIBODIES  
TO *S. MUTANS*

) Group Art Unit: 1645

)

) Examiner: Robert Zeman

)

) CERTIFICATION UNDER 37 CFR § 1.8

)

) I hereby certify that the documents referred to as enclosed herein  
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Washington D.C., 20231

AMENDMENT RESPONSE TO RESTRICTION REQUIREMENT

Amendments

Figures

Figure 1B, Figure 5, and Figure 6 have been amended as indicated in the attachments. The amended figures now correspond to the descriptions in the specification. Therefore, the amendment does not constitute new matter and the entry of the amendment is respectfully requested.

Consequently, please rename SEQ ID NO. 3 as SEQ ID NO. 15, SEQ ID NO. 15 as SEQ ID NO. 3, SEQ ID NO. 4 as SEQ ID NO. 16, and SEQ ID NO. 16 as SEQ ID NO. 4.

In the Specification

At page 13, line 22, please replace "heavy" with "light".

03/21/2003 HVUONG1 00000050 09881823

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